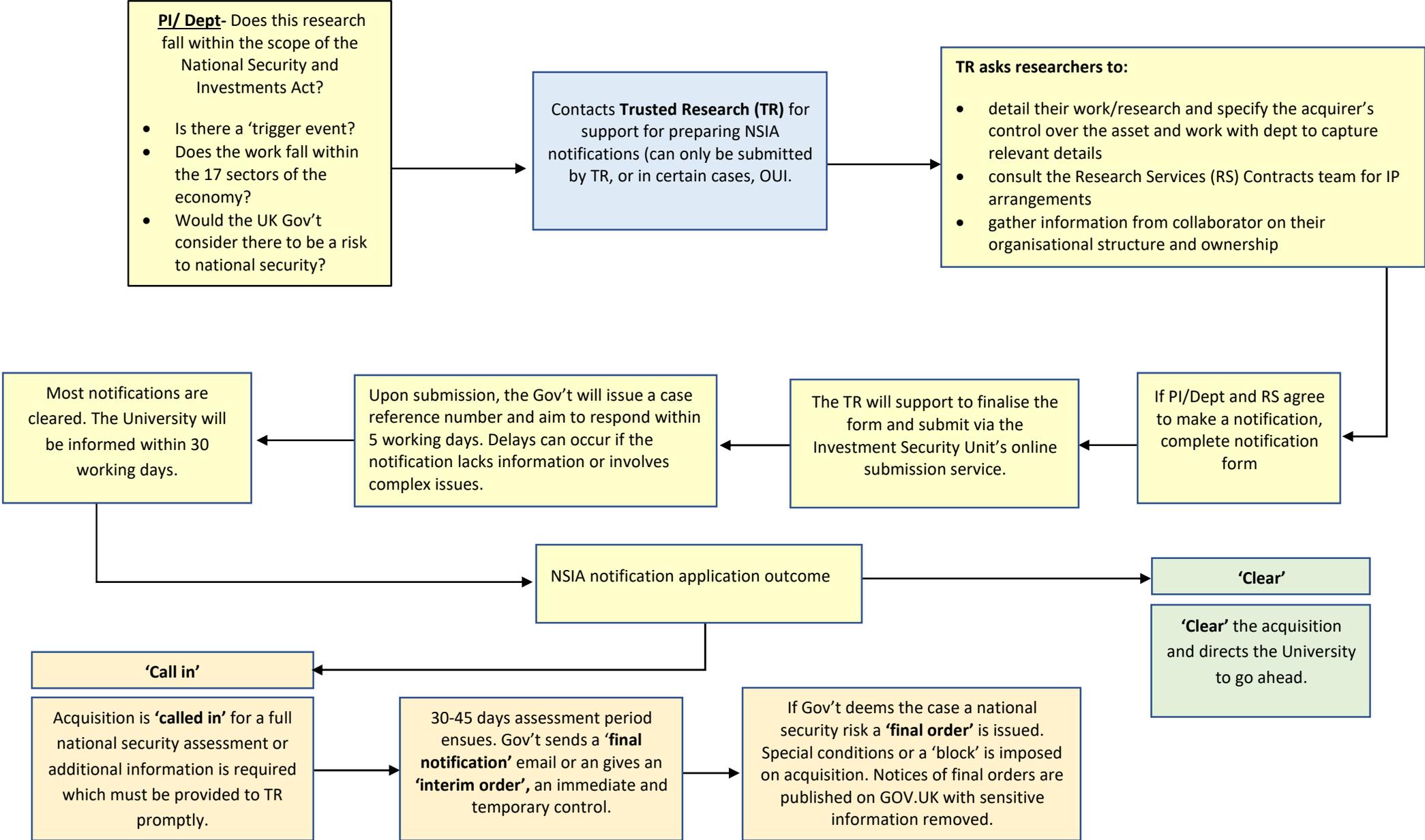


# NSIA NOTIFICATION PROCESS FLOWCHART



**PI/ Dept**- Does this research fall within the scope of the National Security and Investments Act?

- Is there a 'trigger event'?
- Does the work fall within the 17 sectors of the economy?
- Would the UK Gov't consider there to be a risk to national security?

Contacts **Trusted Research (TR)** for support for preparing NSIA notifications (can only be submitted by TR, or in certain cases, OUI).

**TR asks researchers to:**

- detail their work/research and specify the acquirer's control over the asset and work with dept to capture relevant details
- consult the Research Services (RS) Contracts team for IP arrangements
- gather information from collaborator on their organisational structure and ownership

Most notifications are cleared. The University will be informed within 30 working days.

Upon submission, the Gov't will issue a case reference number and aim to respond within 5 working days. Delays can occur if the notification lacks information or involves complex issues.

The TR will support to finalise the form and submit via the Investment Security Unit's online submission service.

If PI/Dept and RS agree to make a notification, complete notification form

NSIA notification application outcome

**'Clear'**  
**'Clear'** the acquisition and directs the University to go ahead.

**'Call in'**  
Acquisition is **'called in'** for a full national security assessment or additional information is required which must be provided to TR promptly.

30-45 days assessment period ensues. Gov't sends a **'final notification'** email or an gives an **'interim order'**, an immediate and temporary control.

If Gov't deems the case a national security risk a **'final order'** is issued. Special conditions or a 'block' is imposed on acquisition. Notices of final orders are published on GOV.UK with sensitive information removed.